



RECEIVED

AUG 27 2002

Technology Center 2100

COPY OF PAPERS  
ORIGINALLY FILED

Receipt

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/733,665
	Filing Date	December 8, 2000
	First Named Inventor	Christian Summerer
	Group Art Unit	2811
	Examiner Name	D. Kang
Total Number of Pages in This Submission	5	Attorney Docket Number IFX-038AUS (99P7719US02)

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply (Preliminary) <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): _____ Return-receipt postcard Request to Correct Filing Receipt Copy of Filing Receipt
Remarks  In the event a petition for extension of time is required by this paper and not otherwise provided, such petition is hereby made and authorization is provided herewith to charge deposit account No. 50-0845 for the cost of such extension.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Barry Gaiman Reg. No. 42,562 Daly, Crowley & Mofford, LLP
Signature	Barry Gaiman
Date	8/6/02

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 8/6/02	
Typed or printed name	Barry Gaiman
Signature	Barry Gaiman
Date	8/6/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



COPY PAPERS  
ORIGINALLY FILED

Application No.: 09/362,976

Filed: July 28, 1999

Group Art Unit: 2811

Atty Dkt No.: IFX-038PUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Christian Summerer  
Application No. : 09/733,665  
Filed : December 8, 2000  
Entitled : SEMICONDUCTOR STRUCTURES  
AND MANUFACTURING  
METHODS  
Docket No : IFX-038AUS  
(99 P 7719 US 02)

Group Art Unit: 2811

Examiner: D. Kang

RECEIVED

AUG 27 2002

Technology Center 2100

Certificate of Mailing (37 C.F.R. 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

8/6/02  
Date of Signature  
and Mail Deposit

By: Barry Gaiman  
Barry Gaiman  
Reg. No. 42,562  
Attorney for Applicant(s)

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

Sir:

In reviewing the filing receipt (copy enclosed) for the above-identified patent application, the following error was noted:

In the "Domestic Priority data as claimed by applicant" section, it reads:

THIS APPLICATION IS A DIV OF 9/362,976 07/28/1999 ABN\*

(\*) Data provided by applicant is not consistent with PTO records.

And should read as follows:

THIS APPLICATION IS A DIV OF 09/362,976 07/28/1999

Kindly correct this error of the Patent and Trademark Office and issue a corrected Filing Receipt.

Respectfully submitted,

Dated: 8/6/02



Barry Gaiman  
Registration No. 42,562  
Attorney for the Applicant(s)  
Daly, Crowley & Mofford, LLP  
275 Turnpike Street - Suite 101  
Canton, MA 02021-2310  
Telephone: (781) 401-9988  
Facsimile : (781) 401-9966



## UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 6093

<b>SERIAL NUMBER</b> 09/733,665	<b>FILING DATE</b> 12/08/2000 <b>RULE</b>	<b>CLASS</b> 710	<b>GROUP ART UNIT</b> 2123	<b>ATTORNEY DOCKET NO.</b> 99 P 7719 US 02	
<b>APPLICANTS</b> Christian Summerer, Fishkill, NY;					
<b>** CONTINUING DATA *****</b> THIS APPLICATION IS A DIV OF 09/362,976 07/28/1999 ABN <i>Yes, T.P.</i>					
<b>** FOREIGN APPLICATIONS *****</b> <i>Now, T.P.</i>					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 01/09/2001</b>					
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met Verified and <i>Shailman</i> Acknowledged <u>                    </u> Examiner's Signature <u>                    </u> Initials		<b>STATE OR COUNTRY</b> NY	<b>SHEETS DRAWING</b> 3	<b>TOTAL CLAIMS</b> 1	<b>INDEPENDENT CLAIMS</b> 1
<b>ADDRESS</b> 22494					
<b>TITLE</b> Semiconductor structures and manufacturing methods					
<b>FILING FEE RECEIVED</b> 710	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		



COPIES OF PAPERS  
ORIGINALLY FILED

cketed

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

IFX-038145

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/733,665	12/08/2000	2123	710	99 P 7719 US 02	3	1	1

CONFIRMATION NO. 6093

CORRECTED FILING RECEIPT



\*OC000000008450865\*

22494  
DALY, CROWLEY & MOFFORD, LLP  
SUITE 101  
275 TURNPIKE STREET  
CANTON, MA 02021-2310

Date Mailed: 07/15/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Christian Summerer, Fishkill, NY;

RECEIVED

AUG 27 2002

**Assignment For Published Patent Application**

Infineon Technologies North America Corp.;

Technology Center 2100

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A DIV OF 09/362,976 07/28/1999 ABN\*  
(\*Data provided by applicant is not consistent with PTO records.)

**Foreign Applications**

If Required, Foreign Filing License Granted 01/09/2001

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

Semiconductor structures and manufacturing methods

**COPY OF PAPERS  
ORIGINALLY FILED****Preliminary Class**

710

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).